Call to Order

Approval of the Minutes of January 14, 2016

Report of the Executive Committee

Remarks by the Chancellor

Old Business

- SPAF Committee is soliciting feedback on its draft of the Academic Freedom of Expression Statement. Some feedback has already been received. Email your responses to Senator Holly McCammon, A&S, Chair or use the link on the portal for an anonymous survey.

- Senate Executive Committee and the Greek Life Task Force met to discuss the results of the Faculty Senate survey. A special meeting will be planned for April. The date of the meeting and more communication is forthcoming. There will be two Senate meetings in April in support of our efforts to promote continued dialogue as a result of the feedback we received from stakeholders.

New Business

- Faculty Manual Committee Motion on Copy Editor Proposed Changes to Parts I, II, VI, VII, and VIII of the Faculty Manual

- Faculty Manual Committee Motion on Copy Editor Proposed Changes to Part V of the Faculty Manual and the addition of the Joseph A. Johnson, Jr., Distinguished Leadership Professor Award to Part V

Good of the Senate

Motion to Adjourn

Voting Members present: Bachman; Barnes; Brown; Cannon; Chakravarthy; Chang; Christenbery; Cliffl; Delpire; Dewey; Fauchet; Fleming; Friedman; Green; Greer; Guthrie; Haglund; Heuser; Johnson, J; Koutsoukos; Loss; Martin; McCammon;
Call to order

Senator Richard Willis, Chair of the Faculty Senate, called the meeting to order at 4:10pm.

Approval of the minutes of January 14, 2016

Chair Willis asked for approval of the minutes. A motion was made and seconded to approve the minutes. The motion passed unanimously by voice vote.

Report of the Executive Committee

Senator Willis gave the report of the Executive Committee (EC). He noted the following:

1. The Strategic Planning and Academic Freedom Committee (Senator Holly McCammon, A&S, Chair) is soliciting feedback on its draft of the Academic Freedom of Expression Statement. Some feedback has already been received. All feedback is welcomed and appreciated. Email your responses to Senator McCammon or use the link on the portal for an anonymous survey.

2. The Senate Executive Committee and the Greek Life Task Force met to discuss the results of Faculty Senate survey. A special meeting of the Faculty Senate will be planned in April for further discussion. The date of the meeting and more communication is forthcoming. There will be two Senate meetings in April. The special meeting with the Task Force will be in support of our efforts to promote continued dialogue as a result of the feedback received from stakeholders.

3. Debbie Hayes joined the Faculty Senate as Administrative Manager on March 1.
Chair Willis opened the floor for questions.

**Remarks by the Chancellor**

Chancellor Nicholas Zeppos introduced and welcomed Barbara Carrol, Associate Vice Chancellor and Chief Human Resource Officer. He also introduced and welcomed Padma Raghavan, Vice Provost for Research. She is a distinguished engineering professor.

Next, Chancellor Zeppos informed Faculty Senate that Dr. Mark Wallace is the new Dean of the Graduate School.

Dr. Elisabeth Dykens, Director, Vanderbilt Kennedy Center, will be stepping down from the Kennedy Center. We will be working hard to get a search committee together soon.

Chancellor Zeppos then spoke about his new program, Chancellor’s Higher Education Fellows. This program is to better identify young leaders, in the Leadership Academy, as potential future leaders.

Chancellor Zeppos concluded by saying a few words about the Academic Freedom Policy. We thrive on free, open inquiry and exchange of information. Inclusion, equity, and diversity enrich the community.

**Old Business**

No old business was brought before the Senate.

**New Business**

*Senate Faculty Manual Committee Motion on Copy Editor Proposed Changes to Parts I, II, VI, VII, and VIII of the Faculty Manual (Faculty Manual Committee Chair, Senator Myrna Wooders)*

*Senate Faculty Manual Committee Motion on Copy Editor Proposed Changes to Part V of the Faculty Manual and the addition of the Joseph A. Johnson, Jr., Distinguished Leadership Professor Award to Part V(Faculty Manual Committee Chair, Senator Myrna Wooders)*

Senator Wooders stated that the Senate Faculty Manual Committee is placing before the Senate two motions related to the faculty manual. The first relates to editing done by a professional copy editor, Ben Galina, who is a Vanderbilt English Ph. D. candidate.

She explained there were mechanical changes to Parts I, II, VI, VII, and VIII to improve grammar and clarity. None of these changes substantively altered Parts I, II, VI, VII, or
VIII. Senator Wooders further noted that Part VIII, now the compliance section of the Faculty Manual previously preceded Part I. She then introduced the following motion:

Motion 1.

• Whereas, the Vanderbilt Faculty Manual (as archived on 8/3/2015) requires revision and updating, and
• Whereas, revisions to Part I, Chapter 5 of the Faculty Manual were approved by the Faculty Senate on 9/10/2015 and given final approval by the Chancellor of Vanderbilt University on 9/14/2015, and
• Whereas, the Faculty Senate has hired a professional copy editor to ensure that the most recently approved revisions of the Vanderbilt Faculty Manual conform to proper grammar, spelling, capitalization, clarity, and punctuation, and
• Whereas, the Faculty Manual Committee has now circulated these additional proposed revisions consisting of the mechanical changes detailed above to the Faculty Senate,
• **We the Faculty Manual Committee, move** that the following Sections as entitled below be revised by addition and deletion as per the attached documents in which the proposed revisions are represented in track changes,
  • Part I, Chapters 1-5 “The University and its Governance”
  • Part II, Chapters 1-3 “Appointment and Tenure”
  • Part VI, Chapters 1-4, “Faculty Benefits”
  • Part VII, Chapters 1-2, “Financial Procedures”
  • Part VIII “Vanderbilt University Compliance Program and Standards of Conduct”
• **We further move** that the Faculty Senate give its endorsement to these revisions, and
• **We further move** that these endorsed revisions be forwarded as a formal proposal as per Faculty Manual “Part 1, Chapter 5, Amendments to the Faculty Manual” to the Provost and the Chancellor, for review, and if accepted, final approval by the Chancellor.

Senator Willis, Chair of the Faculty Senate opened the floor for discussion.

Noting no further questions, Chair Willis asked for a vote by electronic ballot on the Senate Faculty Manual Committee motion on proposed changes.

Voting proceeded: Tally: 36 affirmative, 0 opposed, 1 abstentions.

**The second motion relates to Proposed Changes to Part V “Faculty Awards” and the addition of the Joseph A. Johnson, Jr., Distinguished Leadership Professor Award.**

**Senate Faculty Manual Committee Motion on Copy Editor Proposed Changes to Part V of the Faculty Manual and the addition of the Joseph A. Johnson, Jr., Distinguished Leadership Professor Award to Part V**
Senator Wooders explained that the Joseph A. Johnson, Jr. Distinguished Leadership Professor Award will be awarded at the 2016 Spring Faculty Assembly to a full-time, regular faculty member. George Hill, Vice Chancellor of Equity, Inclusion and Diversity has approved the proposed wording in the faculty manual. In addition, Senator Wooders noted that the Faculty Manual committee is also recommending mechanical changes to improve grammar and clarity in Part V, and that none of the recommended changes substantively alters the meaning of any portion of Part V. Senator Wooders then introduced the following motion:

**Motion 2.**
- Whereas, the Vanderbilt Faculty Manual (as archived on 8/3/2015) requires revision and updating, and
- Whereas, revisions to Part I, Chapter 5 of the Faculty Manual were approved by the Faculty Senate on 9/10/2015 and given final approval by the Chancellor of Vanderbilt University on 9/14/2015, and
- Whereas, the Faculty Senate has hired a professional copy editor to ensure that the most recently approved revisions of the Vanderbilt Faculty Manual conform to proper grammar, spelling, capitalization, clarity, and punctuation, and
- Whereas, Chancellor Nicholas S. Zeppos announced on January 18, 2016 the establishment of the Joseph A. Johnson, Jr., Distinguished Leadership Professor Award, to be awarded annually beginning at the 2016 Spring Faculty Assembly to a full-time, regular faculty member for distinguished leadership at Vanderbilt University, and
- Whereas, George C. Hill, Vice Chancellor of Equity, Diversity and Inclusion has approved the proposed wording describing the Joseph A. Johnson, Jr., Distinguished Leadership Professor Award for inclusion in the Faculty Manual, and
- Whereas, the Faculty Manual Committee has included the new award in Part V and circulated these additional proposed revisions consisting of the mechanical changes detailed above and the addition of the description of the newly created faculty award to the Faculty Senate,
- **We the Faculty Manual Committee, move** that Part V “Faculty Awards” of the Faculty Manual be revised by addition and deletion as per the attached document in which the proposed revisions are represented in track changes,
- **We further move** that the Faculty Senate give its endorsement to these revisions, and
- **We further move** that these endorsed revisions be forwarded as a formal proposal as per Faculty Manual “Part 1, Chapter 5, Amendments to the Faculty Manual” to the Provost and the Chancellor, for review, and if accepted, final approval by the Chancellor.

Chair Willis opened the floor for discussion.

Noting no further questions, Chair Willis asked for a vote by electronic ballot on the Senate Faculty Manual Committee motion on the addition of the Joseph A. Johnson, Jr., Distinguished Leadership Professor Award.
Voting proceeded: Tally: 37 affirmative, 0 opposed, 0 abstentions.

Scheduled Speaker

Chair Willis introduced the scheduled speaker, Alan Bentley, Assistant Vice Chancellor for Health Affairs from the Center for Technology Transfer & Commercialization.

He addressed the Senate with a presentation, covering the topics of:

- Role of CTTC
- Inventor revenue sharing policy vs peer institutions
- Effects of the transition
- IDS allocation issue

Assistant Vice Chancellor Bentley’s office serves the Vanderbilt community by assisting University inventors as they bring their innovations to practical application for the benefit of the public. His office helps ensure Vanderbilt research achieves IMPACT in the world.

His presentation showed us the Research Cycle, the Innovation Cycle, the Impact Cycles, the maturation of CTTC, industry engagement, the Researcher Experience and research funding, noting MTA Share that deals with automated material transfer agreements. He shared with us an inventor satisfaction survey, a snapshot of the licensing pipeline, and updated metrics.

CTTC will remain within Vanderbilt University, but will continue to fully serve VUMC and its researchers and inventors in all respects. There will be no changes to inventor service that results from the Transition.

AVC Bentley also noted Vanderbilt University’s rank relative to peer royalty distribution schedules based on per year royalties. Accordingly, our policy is very faculty friendly.

IDS allocation process was initiated in April, 2014. All affected inventors were notified and previously erroneous withheld amounts were reimbursed.

AVC Bentley then opened the floor for questions.

Q1: Senator Wooders: “Are many of these inventions and grants joined with other institutions?”
A: Bentley: About 10% of the ideas we get are. That’s about 15 or 20 ideas a year.

Q2: Senator Charlene Dewey, Vice Chair Elect of the Faculty Senate: “Do the inventions extend to the educational tools?”
A: Bentley: Yes. Chancellor Zeppos pointed out that one of our biggest royalties is derived from Scholastic, Inc. for a technology out of Peabody.
Q3: Senator Wooders: “How does that relate to online educational tools and online courses in a commercial endeavor?”

A: Bentley: We are currently involved in an endeavor with five other institutions and the revenue share is discussed up front.

Q4: Senator Brian Heuser, Assistant Professor of the Practice, Dept. of Leadership, Policy & Organizations: “How are we doing in terms of gross revenue and who are our peers?”

A: Bentley: We will be below our goal for revenue this fiscal year ending in July. We will come in at $7 – $7.5 million and we were hoping for $9 million.

Q5: Senator Peter Martin, Professor of Psychiatry and Pharmacology; Director, Vanderbilt Addiction Center: “Do we have anything at Vanderbilt that trains people to be involved in technology transfer and commercialization or is it a hit/miss kind of thing that someone falls into?”

A: Bentley: This is a career I fell into it. All of the CTTC staff have a Ph. D. in a field of science. They focus on innovation, handling new ideas, and how they can have an impact. We do not have a formal training program.

Provost Susan Wente, Vice Chancellor for Academic Affairs, added the CTTC has been a great partner in this landscape of how we bring this culture of information to our students, our faculty, and innovation center if you have never entered into this realm before. There is an Innovation Center and a CTTC Genius Bar that is part of the new engineering science building that helps to facilitate those connections and helps students and faculty think in the context of career development and the world.

Dean M. Eric Johnson, Ralph Owen Dean and Bruce D. Henderson Professor of Strategy, addressed the point on training. He noted that he has a class that is being piloted this year to address issues of training. There are four teams that pilot 20 students this year. The focus of this class is learning about issues such as licensing, patenting a raw piece of intellectual property, and whether it should be licensed, sold, or turned into a company. They are excited about the results of the first year and will be offering the class again next year.

Q6: Senator Dewey prefaced her question as one that relates to the Innovation Cycle and how it interfaces with the “medical center side” of campus: “Does your center take over the whole piece of the commercialization part?”

A: Bentley: It is our job to reach out to companies. It is a partnership. We create a spark but nobody gets excited about the product like the faculty member does. We identify companies through our network, research and active marketing technologies. We do all the heavy lifting but it is a true partnership.
Q7: Senator Brian Bachmann, Associate Professor of Chemistry: “Are you doing anything to try to develop relationships with entrepreneurs for a larger source of revenue than royalties?”

A: Bentley: Vanderbilt owns stock in a small company named Cumberland Emerging Technologies. They are an example of what can be done in the biological sciences, mostly around drugs. We have also worked with the state of TN to hire an expert group to write SBIR s and STTR grant applications.

Q8: Senator Christopher Loss, Associate Professor of Public Policy and Higher Education; Associate Professor of History, Dept. of Leadership, Policy & Organizations: “Can you talk a little bit about industry research and of what kind of industry seems to be interested in making investments specifically in research at Vanderbilt? What kind of commitment is private industry willing to make?”

A: Bentley: It is different in different areas. The profile is different. Medical devices industries and drug companies do a lot of investments in basic research. Every investment comes with a tie to it. They want rights to the research. Vanderbilt is in a great position because we have deep expertise in the biology of drug discovery and chemistry of drug development, which makes us an attractive partner.

We have seen a lot more interest from engineering companies who invest in technology development in academia while drug companies invest in academia research in waves. We are looking at large collaborations. For instance, Bayer is doing that with our neurology group.

We identify target companies and reach out to them. If a company says, “This is great technology, but it’s too early and unproven or it’s too risky for me to invest in”, then our question is, “Why don’t you sponsor a little bit of research at Vanderbilt and we will develop it further. Then, you can have an option to take a license to that, plus the new ideas.” That is the basis for how you gain support of the companies. We give them certain access to the results of the project.

**Good of the Senate**

Senator Ann Price, Vice Chair of the Faculty Senate, thanked all of those who worked on the Consultative Committee. She noted that those in their second year will be on the Consultative Committee next year. The Consultative Committee submitted their recommendations for the Branscomb, Heard, Wyatt and Johnson awards. Those awards will be given at the Spring Assembly. She expressed appreciation for the Consultative Committee’s hard work and robust discussions.

Vice Provost Raghavan greeted the Senate and was excited to be at the meeting.

**Adjournment**

Meeting adjourned at 5:40pm.